

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3479601
<b>Application Number:</b>	10086769
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8954
<b>Title of Invention:</b>	INVESTMENT PORTFOLIO COMPLIANCE SYSTEM
<b>First Named Inventor/Applicant Name:</b>	Joseph M. Kochansky
<b>Customer Number:</b>	21874
<b>Filer:</b>	Jacob P. Martinez
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	58985(49357)
<b>Receipt Date:</b>	18-JUN-2008
<b>Filing Date:</b>	01-MAR-2002
<b>Time Stamp:</b>	17:44:42
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1740
RAM confirmation Number	3069
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Authorized User	

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	58985_49357_Transmittal.pdf	108110	no	1
			68930230f64ab05f9a9d7252763007e568a393		

### Warnings:

### Information:

2	Issue Fee Payment (PTO-85B)	58985_49357_Issue_Fee_Transmittal.pdf	195628	no	1
			7f356143064276355f6b5212325612bfc92865		

### Warnings:

### Information:

3	Amendment after Notice of Allowance (Rule 312)	68985_49357_Amendment.pdf	159470	no	4
			f6fc24a0a57d35555a5a5b9ba3446dbce9a525a		

### Warnings:

### Information:

4	Fee Worksheet (PTO-06)	fee-info.pdf	8297	no	2
			e5162ead100a1f85aa25a476c262487ecf0c28		

### Warnings:

### Information:

Total Files Size (in bytes):	471505
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.